

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))DUES RECEIVED PTO 31 JAN 2003  
Docket No.  
NAG-10202/50

In Re Application Of: PRATO, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
			25006		

Title: PURIFICATION PROCESS OF CARBON NANOTUBES

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. 07-1180 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.****Certificate of Transmission by Facsimile\***

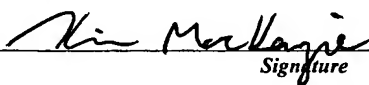
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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____
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**\*This certificate may only be used if paying by deposit account.**

Dated: January 31, 2005

  
Signature

Kevin S. MacKenzie  
Gifford, Krass, Groh, Sprinkle, Anderson,  
& Citkowski, P.C.  
P.O. Box 7021  
Troy, Michigan 48007-7021

CC:

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

NAG-10202/50

APPLICATION NO.

10/523142

APPLICANT(S)

PRATO, et al.

FILING DATE

GROUP ART UNIT

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Bandow, et al. "Purification and Magnetic Properties of Carbon Nanotubes" Applied Physics A 67, 1998, pp.23-27.
			Bonard, et al. "Purification and Size-Selection of Carbon Nanotubes" Advanced Materials, vol. 9. no. 10, pp. 827-831.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Boul. et al. "Reversible Sidewall Functionalization of Buckytubes" Chemical Physics Letters, 310, 1999, pp.367-372.
			Chiang, et al. "Purification and Characterization of Single-Wall Carbon Nanotubes" J. Phys. Chem B., 2001, 105, 1157-1161.

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							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Georgakilas, et al. "Organic Functionalized Carbon Nanotubes" AIP Conf. Proc.(USA) 2002, 633, pp.73-76.
			Georgakilas, et al. "Organic Functionalization of Carbon Nanotubes" J. AM. CHEM. SOC., vol. 124, no. 5, p. 760.

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			Georgakilas, et al. "Purification of HIPCO Carbon Nanotubes via Organic Functionalization" J. AM. CHEM. SOC., 2002, 124, 14318-14319.

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